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(71) Applicant (for all designated States except US): BRIDGE-STONE CORPORATION [JP/JP]; 10-1, Kyobashi 1-Chome, Chuo-Ku, Tokyo 104 (JP).

(72) Inventors; and

(75) Inventors/Applicants (for US only): HOGAN, Terrence [US/US]; 557 Westminster Circle, Akron, OH 44319 (US). RADEMACHER, Christine [US/US]; 714 Hearthstone Circle, Akron, OH 44320 (US). HERGENROTHER, William [US/US]; 195 Dorchester Road, Akron, OH 44313 (US). LAWSON, David [US/US]; 11621 Garden Lane NW, Uniontown, OH 44685 (US).

(74) Agents: VASUTA, John et al.; Bridgestone Americas Holding, Inc., 1200 Firestone Parkway, Akron, OH 44317 (US).

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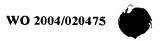
with amended claims

Date of publication of the amended claims: 15 April 2

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: FUNCTIONALIZED POLYMERS AND IMPROVED VULCANIZATES THEREFROM

(57) Abstract: A functionalized polymer defined by the formula  $\pi$ - $R_1$ - $\alpha$ , where  $\pi$  is a polymer chain,  $R_1$  is a bond or a divalent organic group, and  $\alpha$  is a sulfur-containing heterocycle.



#### AMENDED CLAIMS

[received by the International Bureau on 03 January 2004 (03.01.04); original claims 1-2-6-7-8 amended; original claim 3 replaced by new claim 3; original claim 4 cancelled; remaining claims unchanged (3 pages)]

#### What is claimed is:

1. A functional polymer that is defined by the formula

 $\pi$ -R1- $\alpha$ 

where  $\pi$  is a polymer chain,  $R^1$  is a bond or a divalent organic group, and  $\alpha$  is a sulfur-containing heterocycle selected from a thiirane, thietane, thiolane, thiazoline, dihydrothiophene, thiadiazine, thioxanthene, thianthrene, phenoxathiin, dihydroisothiazole, or thienofuran group or substituted form thereof.

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2. A method for preparing a functional polymer, the method comprising: terminating a living polymer chain with a functionalizing agent where the functionalizing agent is defined by the formula

 $Z-R4-\alpha$ 

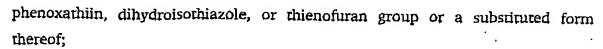
where Z is a leaving group or an addition group,  $R^4$  is a bond or a divalent organic group, and  $\alpha$  is a sulfur-containing heterocycle selected from a thiirane, thietane, thiolane, thiazoline, dihydrothiophene, thiadiazine, thioxanthene, thianthrene, phenoxathiin, dihydroisothiazole, or thienofuran group or substituted form thereof.

3. A method for preparing a cured tire component, the method comprising: providing a rubber formulation comprising at least one vulcanizable rubber and a filler, where the at least one vulcanizable rubber is a functional polymer that is defined by the formula

7-R1-0

where  $\pi$  is a polymer chain,  $R^1$  is a bond or a divalent organic group, and  $\alpha$  is a sulfur-containing heterocycle selected from a thirane, thietane, thiolane, thiazoline, dihydrothiophene, thiadiazine, thioxanthene, thianthrene.

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forming the rubber formulation into a green tire component; vulcanizing the green tire component to form a cured tire component.

4. The polymer of claim 1, or the method of claim 3, where the functional polymer can be defined by the formula

$$\pi \xrightarrow{R^1} \xrightarrow{R^2} R^3$$

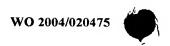
where  $\pi$  is a polymer chain,  $R^1$  is a bond or a divalent organic group, each  $R^2$  is independently hydrogen or a monovalent organic group, each  $R^3$  is independently hydrogen or a monovalent organic group, or where each  $R^3$  combine with each other to form a divalent organic group; or where the functional polymer can be defined by the formula

$$\pi$$
 $Si$ 
 $R^6$ 
 $OR^5$ 
 $OR^5$ 

where  $\pi$  is a polymer chain, each  $R^5$  is independently a monovalent organic group,  $R^6$  is a bond or a divalent organic group, and  $\alpha$  is a sulfur-containing heterocycle.

5. The polymer of claim 1, or the method of claim 3, where R<sup>1</sup> includes the residue of an addition reaction between an addition group and a living polymer, and wherein the addition group comprises a nitrile group, a Schiff base, a ketone group, an aldehyde group, or an ester group.

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- 6. The polymer of claim 1, or the method of claim 2 or 3, where the polymer chain is a rubbery polymer having a Tg that is less than 0°C.
- 7. The polymer of claim 1, or the method of claim 2 or 3, where the polymer chain is polybutadiene, polyisoprene, poly(styrene-co-butadiene), poly(styrene-co-butadiene-co-isoprene), poly(isoprene-co-styrene), or poly(butadiene-co-isoprene).
- 8. The method of claim 2, where Z comprises a halide, a thio alkoxide group, an alkoxide group, a dialkyl amine group, a nitrile group, a Schiff base, a ketone group, an aldehyde group, or an ester group.
  - 9. The method of claim 3, where the filler is carbon black, silica or both.

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Relevant to claim No.

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C08C19/44 C08F8/34

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Category °

 $\frac{\text{Minimum documentation searched (classification system followed by classification symbols)}}{1PC-7-C08C}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Citation of document, with indication, where appropriate, of the relevant passages

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<u> </u>	ner documents are listed in the continuation of box C.	X Patent family members are listed	in annex.		
'A' docume conside 'E' earlier difiling di 'L' docume which i citation 'O' docume other n 'P' docume later th	ent defining the general state of the art which is not ered to be of particular relevance document but published on or after the international ate in the international ate in the international ate in the publication date of another is clied to establish the publication date of another in or other special reason (as specified) entering to an oral disclosure, use, exhibition or means interpretable prior to the international filling date but an the priority date claimed	or profity date and not in conflict with cited to understand the principle or the invention  'X' document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the do  'Y' document of particular relevance; the cannot be considered to involve an inventive and the considered to involve an involve and involve and involve and involved the cannot be considered to involve and involved in the art.	<ul> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled</li> </ul>		
	actual completion of the international search  5 December 2003	Date of mailing of the international search report  29/12/2003			
Name and m	nailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Authorized officer  Mettler, R-M			



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